

# Conductors and minimal discriminants of hyperelliptic curves: a comparison in the tame case

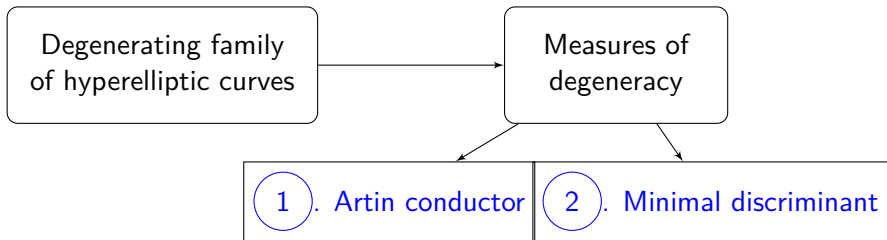
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- 1 Introduction
- 2 Definitions and computational tools
- 3 Overview of inductive proof strategy
- 4 Computing change in conductor during induction
- 5 Computing change in discriminant during induction
- 6 Proof in action in an example

# What are conductors and minimal discriminants?



**Main Question:** How are measures ① and ② related? *Inequality?*

**Example:**  $X/\mathbb{C}((t))$ ,  $y^2 = f(x)$ , genus  $g = 3$  hyperelliptic

$$f(x) = (x^2 - t)(x^2 - 2t)(x - 1)(x - 1 + t)(x - 1 + 2t)(x - 1 + 3t)$$

$$- \text{Art}(X) = 8, \quad \Delta_X = 18.$$

# How are conductors and minimal discriminants related?

Earlier results: (small genus, all residue characteristics)

- If  $g = 1$ , then  $-\text{Art}(X) = \Delta_X$ . [Ogg-Saito formula]
- If  $g = 2$ , then Liu showed that  $-\text{Art}(X) \leq \Delta_X$ . He showed that equality does not always hold.

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- If  $g = 2$ , then Liu showed that  $-\text{Art}(X) \leq \Delta_X$ . He showed that equality does not always hold.

**Question:** Does  $-\text{Art}(X) \leq \Delta_X$  hold for hyperelliptic curves of arbitrary genus  $g$ ?

**Today:** Yes, if the residue characteristic is  $> 2g + 1$ . [S.]

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$R: \mathbb{C}[[t]]$

$K: \mathbb{C}((t))$ , field of Laurent series

$\bar{K}: \bigcup_{n \geq 1} \mathbb{C}((t^{1/n}))$ , field of Puiseux series

$\text{ord}_{t=0}$ :  $t$ -adic valuation  $\bar{K} \rightarrow \mathbb{Q} \cup \{\infty\}$ ,  
normalized using  $\text{ord}_{t=0}(t) = 1$ .

$X$ : **smooth** hyperelliptic  $K$ -curve

$g$ : genus of  $X$

**Definition:** The **minimal discriminant**  $\Delta_X$  of  $X/K$  is the nonnegative integer

$$\Delta_X := \min_{\substack{f(x) \in R[x] \\ y^2 = f(x), \text{ eqn. for } X}} \text{ord}_{t=0} \underbrace{(\text{disc}(f))}_{\in R}.$$

An example:

$$C_1: y^2 = x(x-t)(x-2t)(x-3t) \rightsquigarrow \text{disc}(f) = 12.$$

$$C_2: y'^2 = x'(x'-1)(x'-2)(x'-3) \rightsquigarrow \text{disc}(f) = 0.$$

Here  $C_1 \cong_K C_2$  via  $x' = \frac{x}{t}, y' = \frac{y}{t^2} \rightsquigarrow \Delta_X = 0$ .



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**Definition:** The (negative of the) **Artin conductor**  $-\text{Art}(X)$  of  $X/K$  is the nonnegative integer

$$- \text{Art}(X) := \min_{\substack{\mathcal{X} \rightarrow \text{Spec } R \\ \text{proper, regular} \\ \text{model for } X/K}} [-\text{Art}(\mathcal{X})] \quad (= -\text{Art}(\mathcal{X}^{\text{min}})).$$

**Remark:** If  $P$  is a closed point of  $\mathcal{X}$ , and  $\text{Bl}_P(\mathcal{X})$  is the blowup of  $\mathcal{X}$  at  $P$ , then  $-\text{Art}(\text{Bl}_P(\mathcal{X})) = [-\text{Art}(\mathcal{X})] + 1$ .

# Why care about conductors and minimal discriminants?

**Fact:** The invariants

$$\Delta_X = [-\text{Art}(X)] = 0$$

if and only if  $X$  has a **smooth** model over  $\text{Spec } R$  and are strictly  $> 0$  otherwise.

## Theorem (S.)

Let  $K$  be the fraction field of a Henselian discrete valuation ring.

Let  $X$  be a smooth hyperelliptic curve over  $K$  of genus  $g \geq 1$ .

Assume that the *residue characteristic* is  $> 2g + 1$ .

Then,

$$-\text{Art}(X) \leq \Delta_X.$$

$$\begin{array}{ccc}
 \text{Norm}(\mathcal{Y}, K(X)) =: \mathcal{X} & \longleftarrow & X \\
 \downarrow \text{finite} & & \downarrow 2:1 \\
 B \subset \mathcal{Y} & \longleftarrow & \mathbb{P}_K^1 \\
 \text{Branch divisor} & & \\
 \downarrow & & \downarrow \\
 \text{Spec } R & \longleftarrow & \text{Spec } K
 \end{array}$$

Riemann-Hurwitz formula:

$$- \text{Art}(\mathcal{X}) = 2\chi^{\text{top}}(\mathcal{Y}_{t=0}) - \chi^{\text{top}}(B_{t=0}) - (2 - 2g).$$

Example:  $\mathcal{Y} = \mathbb{P}_R^1$ ,  $f(x) = x^{2g+2} - t$ ,  $B: f = 0$ .

$$\chi^{\text{top}}(\mathcal{Y}_{t=0}) =$$

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$$\chi^{\text{top}}(\mathcal{Y}_{t=0}) = \chi^{\text{top}}(\mathbb{P}_{\mathbb{C}}^1) = 2.$$

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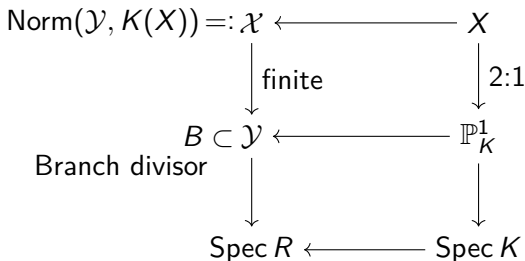
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Example:  $\mathcal{Y} = \mathbb{P}_R^1$ ,  $f(x) = x^{2g+2} - t$ ,  $B: f = 0$ .

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$$- \text{Art}(\mathcal{X}) = 2 \cdot 2 - 1 - (2 - 2g) = 2g + 1.$$

**Goal:** Visualize relative distances of roots  $\rightsquigarrow$

Reorganize roots of  $f \in R[x]$  into a **metric tree**  $T(f)$

**Example 1:** Roots of  $f$  are  $K$ -rational

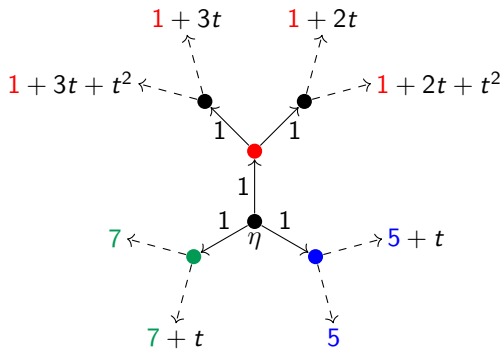
$$f(x) = (x - 7)(x - 7 - t)(x - 5)(x - 5 - t) \\ (x - 1 - 2t)(x - 1 - 2t - t^2)(x - 1 - 3t)(x - 1 - 3t - t^2)$$

Roots of  $f = \{7, 7 + t, 5, 5 + t, 1 + 2t, 1 + 2t + t^2, 1 + 3t, 1 + 3t + t^2\}$

**Idea:** Partition the roots successively, using their **residues mod  $t$** , **mod  $t^2$** , etc..

# Metric tree of a polynomial

$7, 7+t, 5, 5+t, 1+2t,$   
 $1+2t+t^2, 1+3t, 1+3t+t^2$



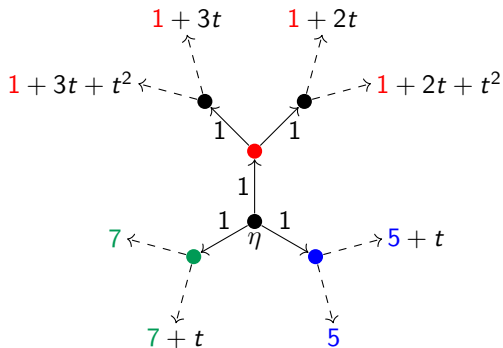
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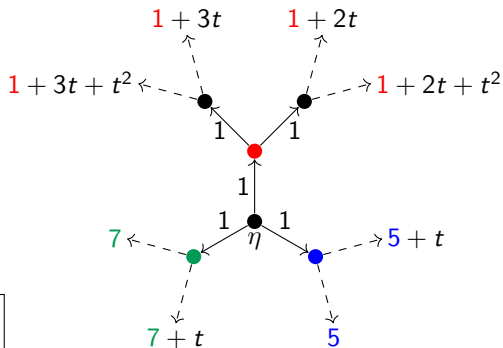
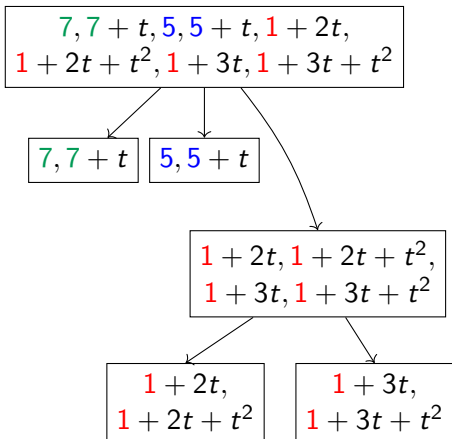
$7, 7+t$

$5, 5+t$

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# Metric tree of a polynomial



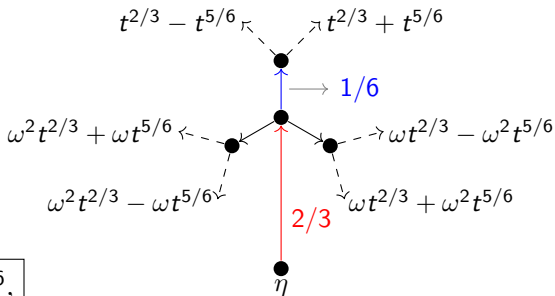
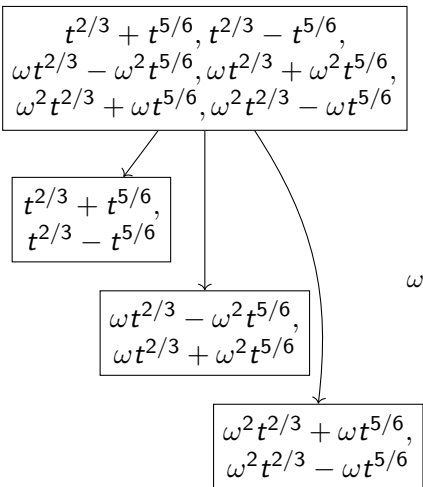
## Example 2: Roots of $f$ are not $K$ -rational

Roots of  $f = t^{2/3} + t^{5/6}$  and all its Galois conjugates

$$= \{t^{2/3} + t^{5/6}, t^{2/3} - t^{5/6}, \omega t^{2/3} - \omega^2 t^{5/6}, \omega t^{2/3} + \omega^2 t^{5/6}, \\ \omega^2 t^{2/3} + \omega t^{5/6}, \omega^2 t^{2/3} - \omega t^{5/6}\}$$

- The roots of  $f$  have  $t^{1/6}$ -adic expansions.
- Draw the metric tree using  $t^{1/6}$ -adic expansions.
- Rescale all lengths by  $1/6$ .

# Metric tree of a polynomial



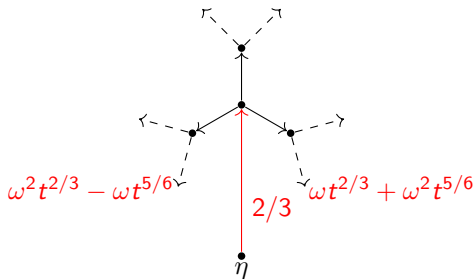


# The metric function and discriminants

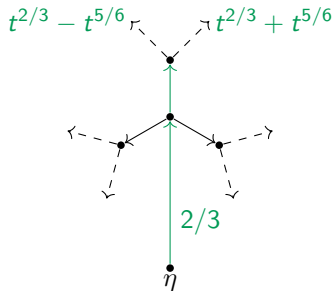
**Definition** Let  $\alpha, \beta$  be two roots of  $f$ .

$$(\alpha|\beta)_\eta := \text{ord}_{t=0} (\alpha - \beta)$$

= Length of common segment of path from  $\eta$  to  $\alpha$  and  $\beta$ .



$$(\omega^2 t^{2/3} - \omega t^{5/6} | \omega t^{2/3} + \omega^2 t^{5/6})_\eta = 2/3$$



$$(t^{2/3} - t^{5/6} | t^{2/3} + t^{5/6})_\eta = 5/6$$

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**Fact** Let  $(\alpha_i)$  be the collection of roots of  $f$ . Then,

$$\Delta_f = \sum_{i \neq j} (\alpha_i|\alpha_j)_\eta.$$

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**Our choice:** Jung's method for resolving surface singularities. Let

$$\Delta_f := \text{ord}_{t=0}(\text{disc}(f)) \text{ for any } f \in R[x]$$

$$\text{div}(f) := \text{Divisor of } f \text{ in } \mathbb{P}_R^1$$

$$\mathcal{Y}_f := \text{Embedded resolution of the pair } (\mathbb{P}_R^1, \text{div}(f))$$

$$\mathcal{X}_f := \text{Normalization of } \mathcal{Y}_f \text{ in } K(X)$$

**Jung**  $\Rightarrow$  The model  $\mathcal{X}_f \rightarrow \text{Spec } R$  of  $X$  is (almost) **regular**.

**Explicit regular model:** Let  $y^2 = f(x)$  be an equation for  $X$  with  $f(x) \in R[x]$  and  $\Delta_X = \Delta_f$ . Set  $\mathcal{X} = \mathcal{X}_f$ .

# Overview of proof for $\text{Art}(\mathcal{X}_f) \leq \Delta_f$ .

**Strategy:** Induction on  $\Delta_f$ .

# Overview of proof for $-\text{Art}(\mathcal{X}_f) \leq \Delta_f$ .

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**STEP 3:** Let  $(-\text{Art}(\mathcal{X}_{f_P})) = (-\text{Art}(\mathcal{X}_{f_P^{\text{sm}}})) + (-\text{Art}(\mathcal{X}_{f_P^{\text{nod}}}))$ , and let  $\Delta_{f_P} = \Delta_{f_P^{\text{sm}}} + \Delta_{f_P^{\text{nod}}}$ .

**3A: Compute  $\delta(-\text{Art}) := -\text{Art}(\mathcal{X}_f) - \sum_{P \in \text{div}(f)^{\text{Sing}}} (-\text{Art}(\mathcal{X}_{f_P}))$ .**

**3B: Compute  $\delta(\Delta) := \Delta_f - \sum_{P \in \text{div}(f)^{\text{Sing}}} \Delta_{f_P}$ .**

**3C: Prove  $\delta(-\text{Art}) \leq \delta(\Delta)$ .**

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**STEP 4: Termination:** Reduce to  $\text{div}(f)$  regular after finitely many replacements.

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## Main ingredients in calculation:

- Jung's method gives a natural way to identify  $(\mathcal{Y}_{f_P^{\text{sm/nod}}, t=0}, B_{f_P^{\text{sm/nod}}, t=0})$  with a closed subset of  $(\mathcal{Y}_{t=0}, B_{t=0})$ .
- Use the **additivity of  $\chi^{\text{top}}$**  and **inclusion-exclusion/excision** for  $(\mathcal{Y}_{f_P^{\text{sm/nod}}, t=0}, B_{f_P^{\text{sm/nod}}, t=0}) \subset (\mathcal{Y}_{t=0}, B_{t=0})$ .

$\rightsquigarrow$  an **explicit formula** for  $\delta(-\text{Art}) :=$

$$-\text{Art}(\mathcal{X}_f) - \sum_{P \in \text{div}(f)^{\text{Sing}}} [(-\text{Art}(\mathcal{X}_{f_P^{\text{sm}}})) + (-\text{Art}(\mathcal{X}_{f_P^{\text{nod}}}))]$$

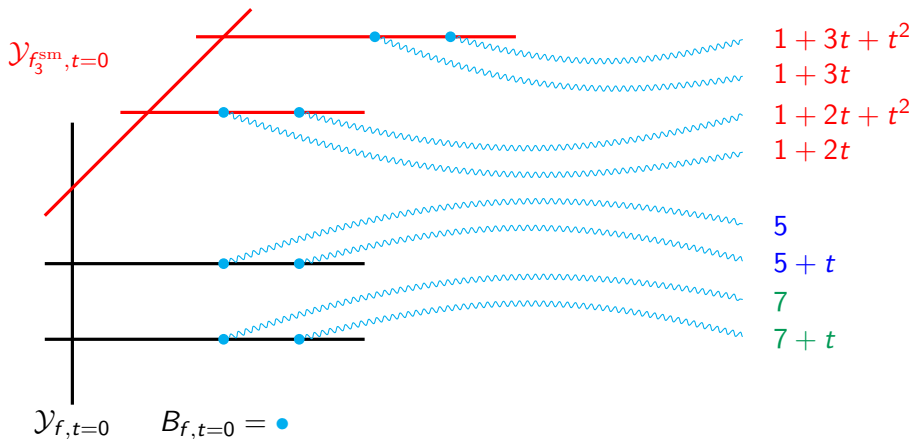
(Omitted).

$(\mathcal{Y}_{f_P, t=0}, B_{f_P, t=0})$  is a closed subset of  $(\mathcal{Y}_{f, t=0}, B_{f, t=0})$

$$f(x) = (x - 7)(x - 7 - t)(x - 5)(x - 5 - t)$$

$$(x - 1 - 2t)(x - 1 - 2t - t^2)(x - 1 - 3t)(x - 1 - 3t - t^2)$$

$$f_3^{\text{sm}}(x) = (x - 2)(x - 2 - t)(x - 3)(x - 3 - t).$$



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# Computing change in discriminant

## Remarks:

- It is enough to relate  $T(f)$  to  $T(f_P^{\text{sm}})$  and  $T(f_P^{\text{nod}})$ .
- If we do it carefully, we simultaneously obtain a proof of termination of induction.

$T(f_P^{\text{sm}})$  is a subtree of  $T(f)$ .

$T(f_P^{\text{nod}})$  is more tricky!

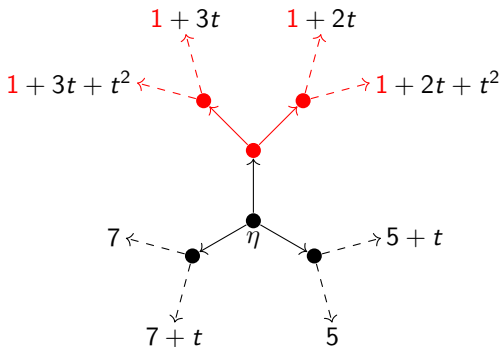


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# Obtaining $T(f_P^{\text{nod}})$ from Abhyankar's Inversion Formula

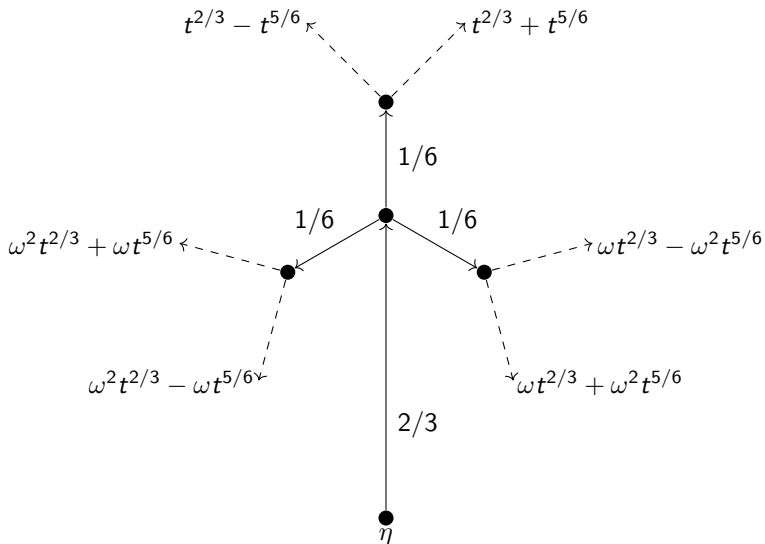
**Special case:** Suppose that

- $f$  is irreducible,
- the valuation of a root of  $f$  is  $a/b < 1$ , and,
- $\gcd(a, b) = 1$ .

**Fact:**  $T(f)$  is obtained by gluing  $b$  identical subtrees at distance  $a/b$  from  $\eta$ .

# Symmetry of $T(f)$

Example: Roots of  $f = t^{2/3} + t^{5/6}$  and its conjugates,  $a/b = 2/3$ .



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**Abhyankar**  $\Rightarrow T(f_p^{\text{nod}})$  can then be obtained by gluing  $a$  identical new subtrees at distance  $(b/a) - 1$  from  $\eta$ , where

$$\text{New subtree metric} = (\text{Old subtree metric}) \cdot b/a.$$

# Obtaining $T(f_p^{\text{nod}})$ from Abhyankar's Inversion Formula

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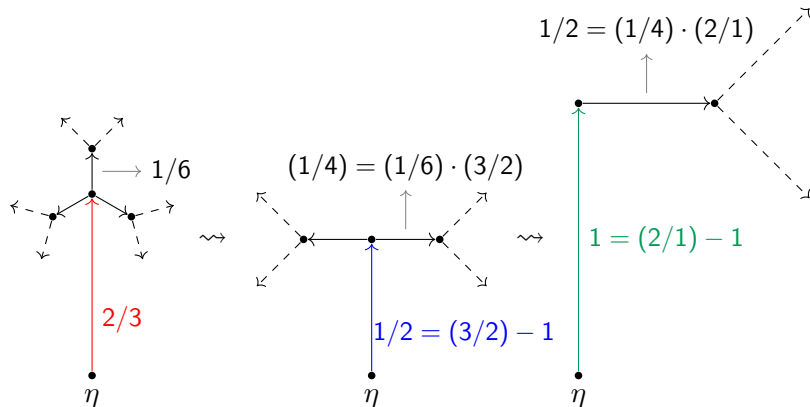
$$\text{New subtree metric} = (\text{Old subtree metric}) \cdot b/a.$$

**General case:** Transform trees for each irreducible nodal factor separately, and then glue them back together maintaining 'expected overlaps'.

$$T(f) \rightsquigarrow T(f_P^{\text{nod}})$$

Distance from  $\eta$  changes according to  $(a/b) \rightsquigarrow (b/a) - 1$ .

New subtree metric = (Old subtree metric)  $\cdot b/a$ .



- 1 Introduction
- 2 Definitions and computational tools
- 3 Overview of inductive proof strategy
- 4 Computing change in conductor during induction
- 5 Computing change in discriminant during induction
- 6 Proof in action in an example**

An explicit example.  $f(x) = (x^2 - t)(x^2 - 2t)(x - 1)(x - 1 + t)(x - 1 + 2t)(x - 1 + 3t)$ .

Replacement steps:

$$f(x) = (x^2 - t)(x^2 - 2t)(x - 1)(x - 1 + t)(x - 1 + 2t)(x - 1 + 3t)$$

$$f_{P_2}(x) = (x - t)(x - (1/2)t) \qquad f_{P_1}(x) = x(x + 1)(x + 2)(x + 3)$$

$$f_{P_3}(x) = (x - 1)(x - (1/2))$$



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$$3A: \delta(-\text{Art}): -\text{Art}(\mathcal{X}_f) - [-\text{Art}(\mathcal{X}_{f_1}) - \text{Art}(\mathcal{X}_{f_2})] = 2 + 4.$$

$$3B: \delta(\Delta): \Delta_f - [\Delta_{f_1} + \Delta_{f_2}] = 2\binom{4}{2} + 4 = 12 + 4.$$

$$3C: \delta(-\text{Art}) \leq \delta(\Delta): 2 + 4 \leq 12 + 4.$$

$$3A: \delta(-\text{Art}): -\text{Art}(\mathcal{X}_{f_2}) - [-\text{Art}(\mathcal{X}_{f_3})] = 2.$$

$$3B: \delta(\Delta): \Delta_{f_2} - \Delta_{f_3} = 2\binom{2}{2} = 2.$$

$$3C: \delta(-\text{Art}) \leq \delta(\Delta): 2 \leq 2.$$

Thank you!